

# Early Stage Cancer Therapies and Surrogate Endpoints



## **Agenda:**

- 7:30-7:55 – Expert remarks (Bick, Thorlund, Machado, Bourgoin)
- 7:55-8:55 – Facilitated discussion and workshop collaboration
- 8:55-9:00 – Closing remarks and reflections / next steps from Bob Bick

## **Challenge Question:**

How do we ensure patient and clinician access to early-stage cancer treatments that rely on surrogate outcomes?

# **Early Stage Cancer Therapies and Surrogate Endpoints**

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McMaster University  
Hamilton, ON

Oct 18, 2022

# Fun Fact!

**An oncology drug is more likely to get approved  
if the trial does NOT include Overall Survival**

# Sparse validation in early cancers

- Two reviews of 23 systematic reviews: NSCLC and Breast cancer
- Only 3 trials concentrated on 'early stage' cancer
- All reported on correlations as measure of surrogacy
- None reviewed QoL



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Research Paper

Evaluating the evidence behind the surrogate measures included in the FDA's table of surrogate endpoints as supporting approval of cancer drugs

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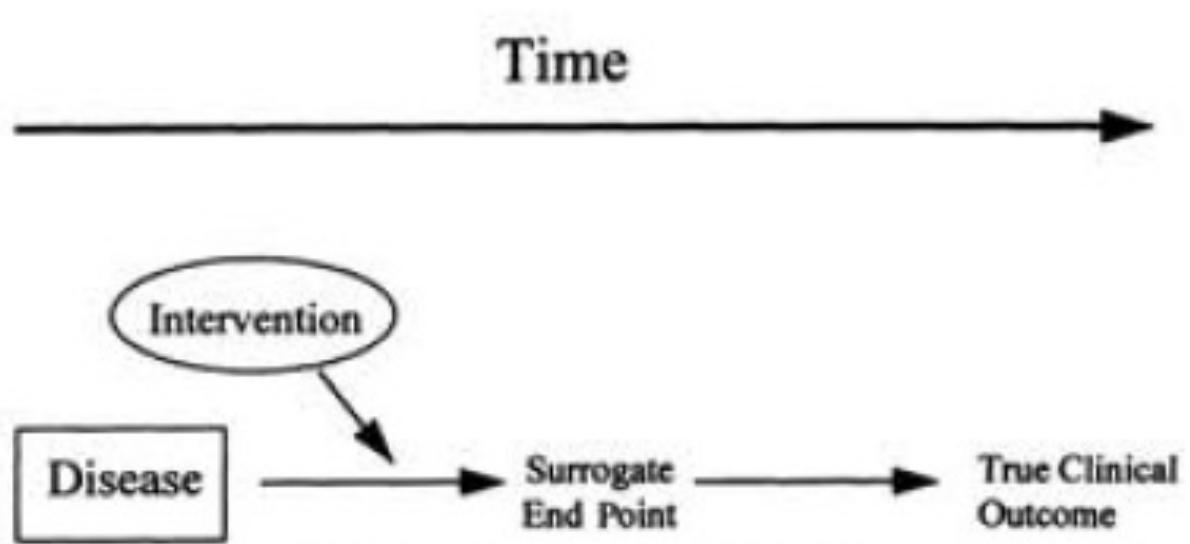
**The Relationship Between Short-Term Surrogate Endpoint Indicators and mPFS and mOS in Clinical Trials of Malignant Tumors: A Case Study of Approved Molecular Targeted Drugs for Non-Small-Cell Lung Cancer in China**

Mingjun Rui<sup>1,2</sup>, Zijing Wang<sup>1,2</sup>, Zhengyang Fei<sup>1,2</sup>, Yao Wu<sup>1,2</sup>, Yingcheng Wang<sup>1,2</sup>, Lei Sun<sup>1</sup>, Ye Shang<sup>1,2</sup> and Hongchao Li<sup>1,2\*</sup>

<sup>1</sup>School of International Pharmaceutical Business, China Pharmaceutical University, Nanjing, China, <sup>2</sup>Center for Pharmacoeconomics and Outcomes Research, China Pharmaceutical University, Nanjing, China

# ‘A correlate does not a surrogate make’<sup>1</sup>

*‘the surrogate must be a **correlate** of the true clinical outcome and **fully capture** the **net effect of treatment** on the clinical outcome.’<sup>2</sup>*

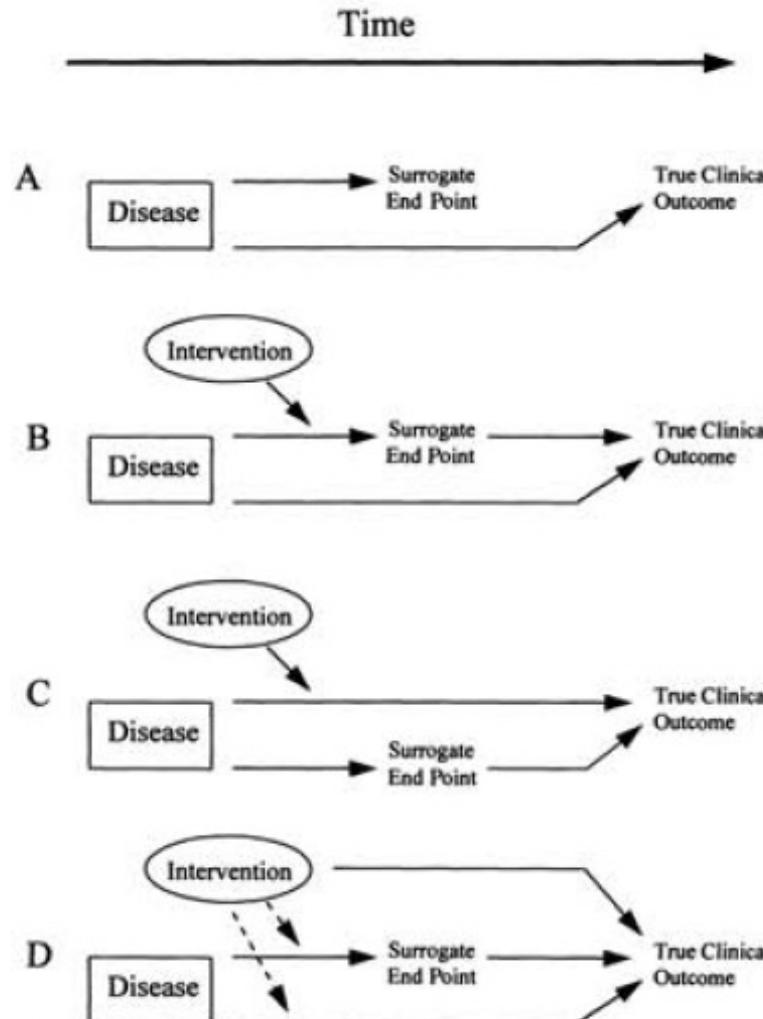


**Figure 2.** The setting that provides the greatest potential for the surrogate end point to be valid.

<sup>1</sup>Fleming & DeMets. *Ann Int Med* 1996. *Surrogate Endpoints in Clinical trials. Are we being Misled?*

<sup>2</sup>Prentice RL. *Stat Med* 1989. *Surrogate Endpoints in Clinical trials. Definition and Operational Criteria*

# What is NOT a good surrogate?



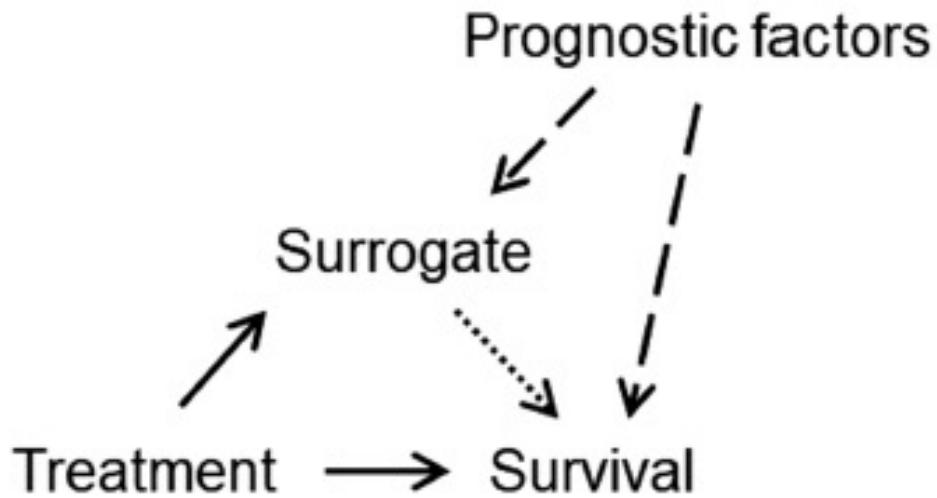
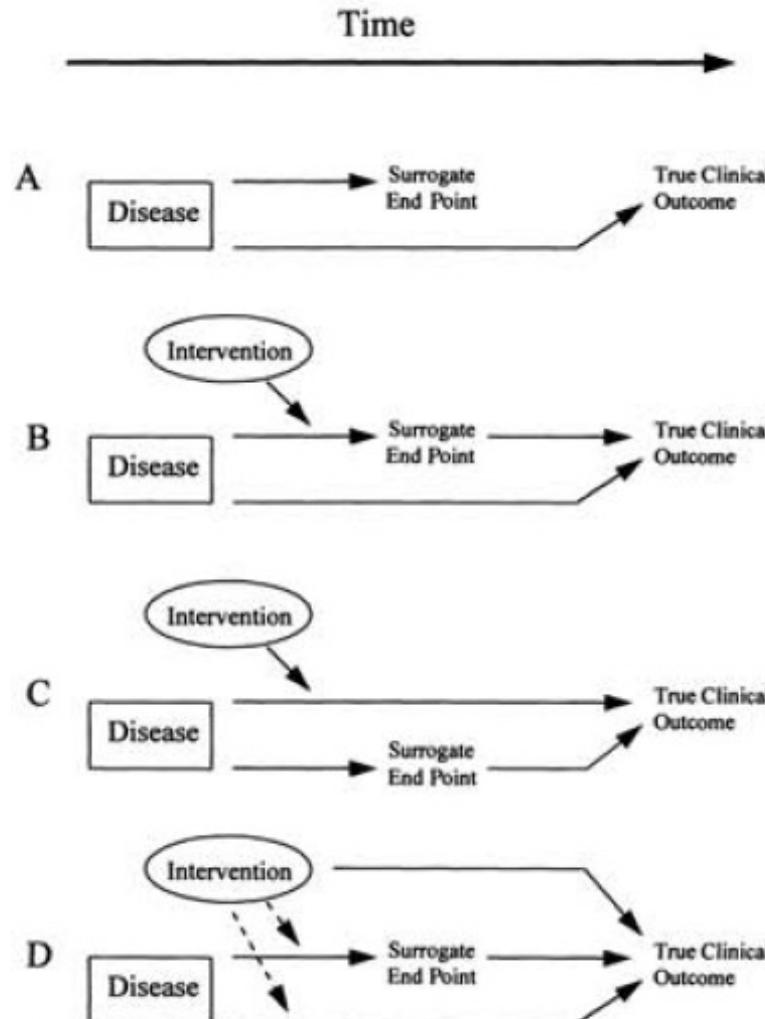
Not involving same pathological process that results in outcome

Intervention only affects pathways of the surrogate outcome

Intervention affects pathways independent of the surrogate outcome

Intervention effect through intended pathways offset by under-recognized mechanisms

# What is NOT a good surrogate?

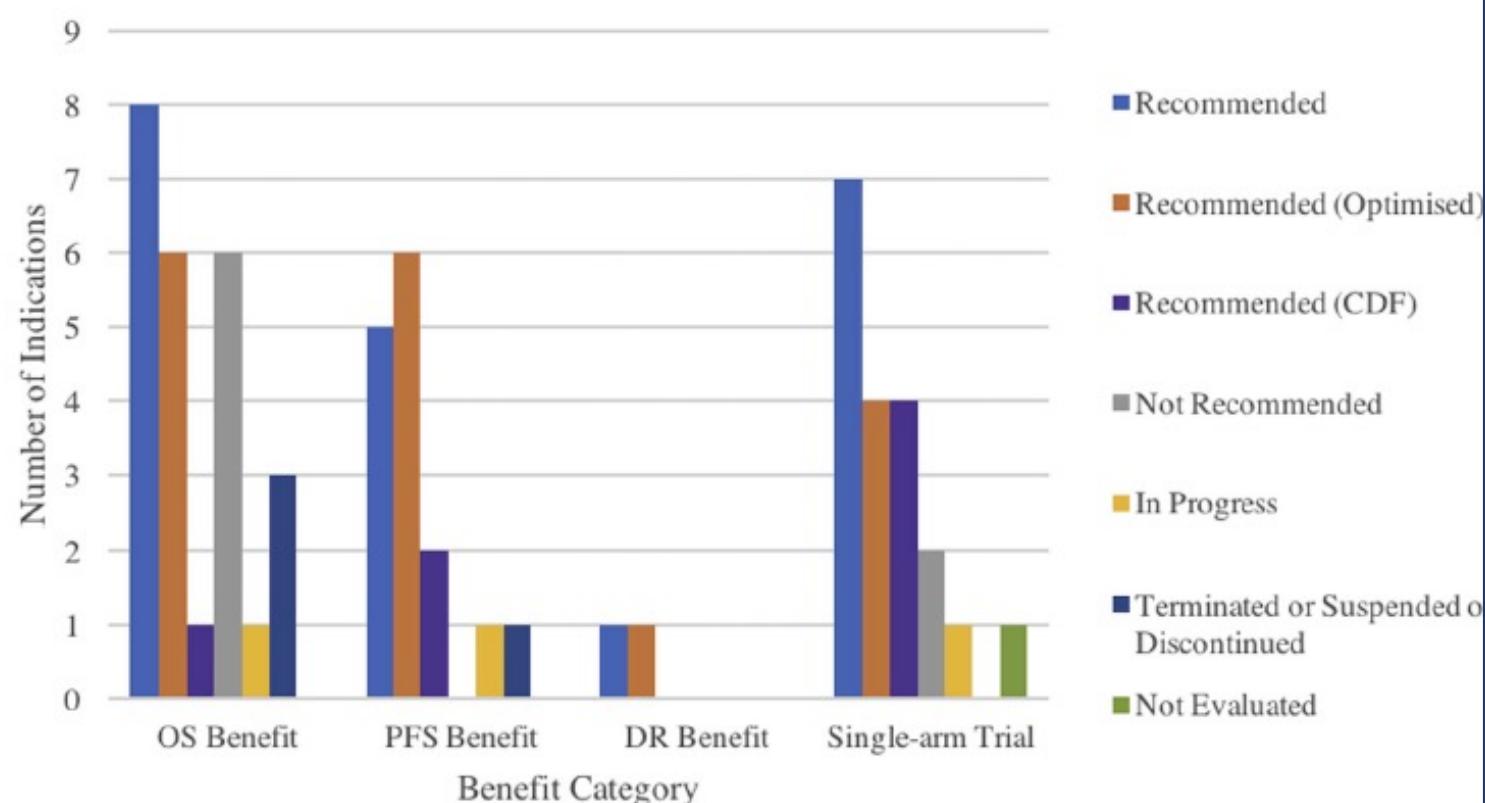


Presence of prognostic factors (known or unknown) correlated both with surrogate and survival

# How would and should HTAs deal?

## Health Policy Analysis

### Association Between the Use of Surrogate Measures in Pivotal Trials and Health Technology Assessment Decisions: A Retrospective Analysis of NICE and CADTH Reviews of Cancer Drugs



### 25 OS-based recommendations

- 15 positive with NICE
- 14 (2) positive with CADTH

### 14 PFS-based recommendations

- 12 positive with NICE
- 9 (2) positive with CADTH

### 2 DR-based recommendations

- 2 positive with NICE
- 1 (0) positive with CADTH

*\* Numbers in parentheses represent recommendations without requirement for improved cost-effectiveness*

# How would and should HTAs deal?

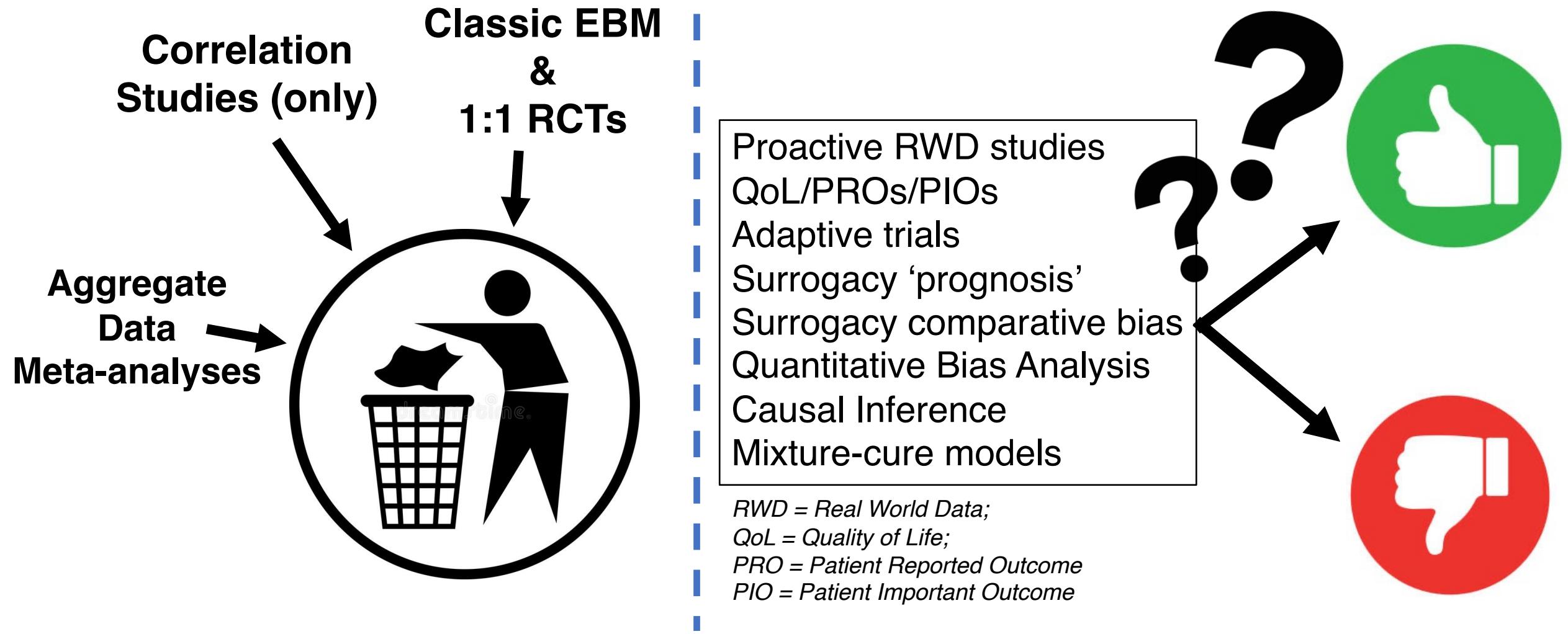
**CADTH**

Classic EBM, Risk of Bias, GRADE  
Surrogate is 'indirect evidence' in GRADE  
Using Prentice criteria, few surrogate would survive downgrading  
*Pembrolizumab for the Adjuvant Tx for RCC at high risk of recurrence  
DFS was the surrogate outcome. (2022)*

**NICE**

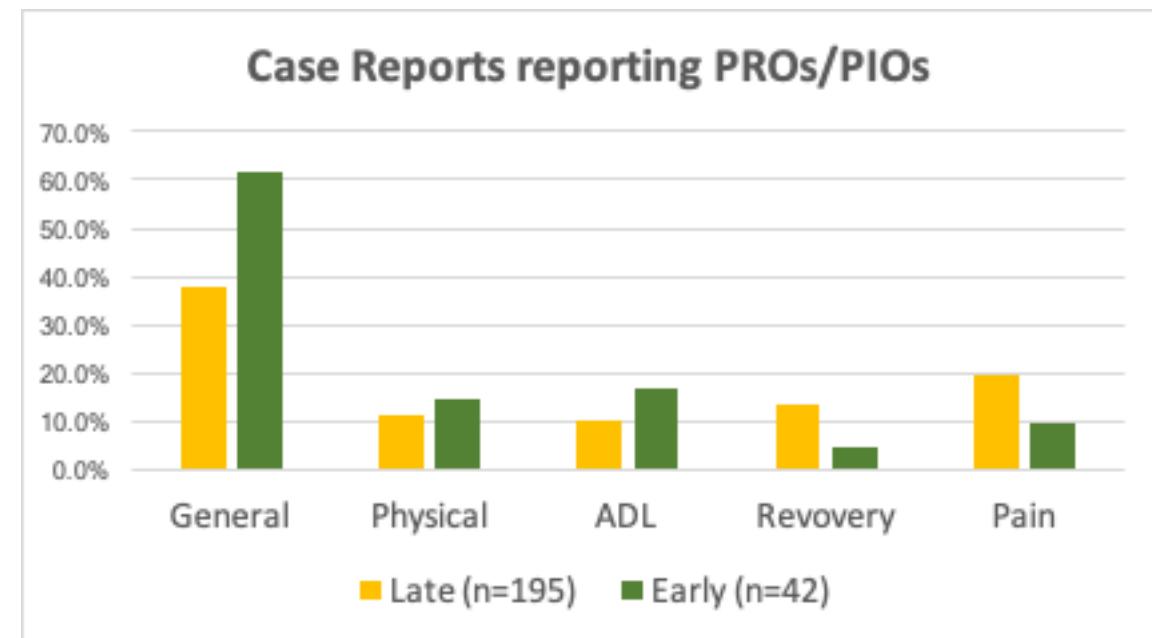
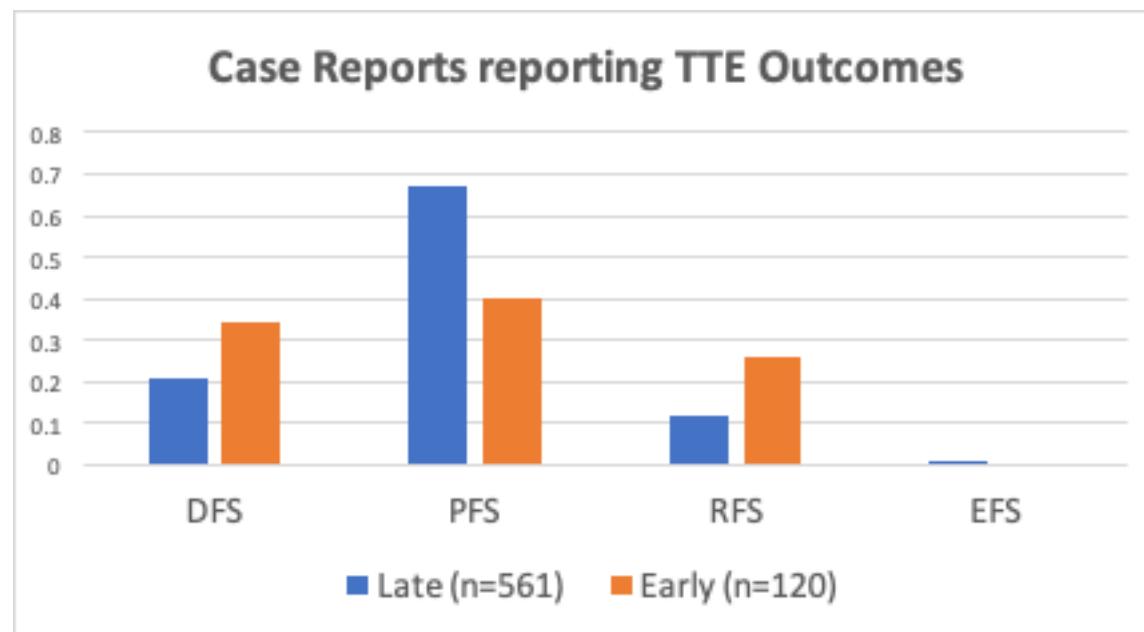
Can be heavy on stats methods  
Has endorsed several novel RWD approaches lately  
Realistically, most surrogate outcomes still won't make it  
*Atezolizumab for the resected Stage I-IIIa NSCLC  
DFS was the surrogate outcome. (2022)*

# Bad habits to shed, new ones to adopt

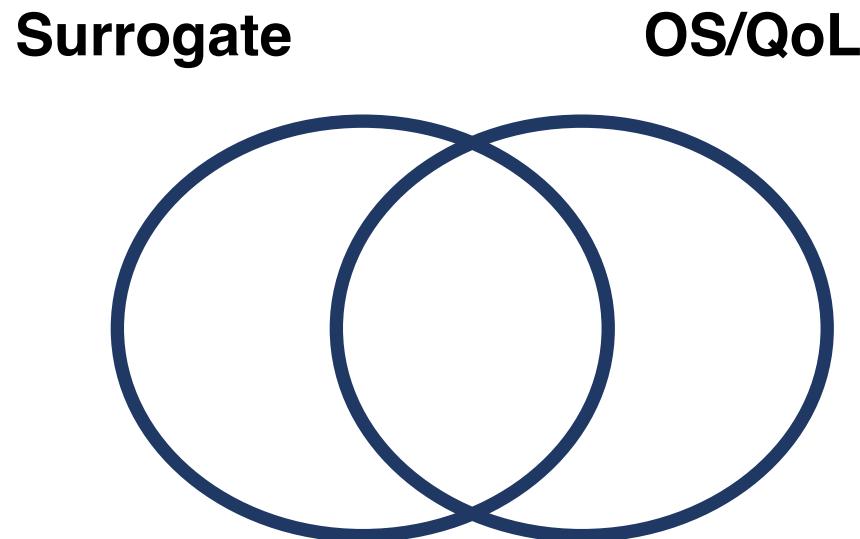


# What might RWD studies look like?

1,000 randomly selected published oncology case reports from 2016-2021 in Lung, Breast, Colorectal, Pancreatic, Bladder and Hematological Cancers



# Beyond correlation – prognostic accuracy and bias



ImmunoTx

Predictions	Surrogate+	Surrogate-
OS/QoL+	85%	10%
OS/QoL-	15%	90%

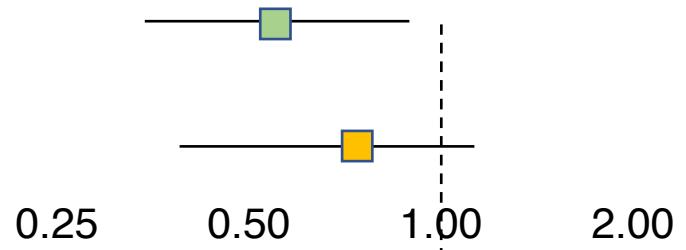
SOC

Predictions	Surrogate+	Surrogate-
OS/QoL+	95%	20%
OS/QoL-	5%	80%

Hazard Ratio

Surrogate

OS

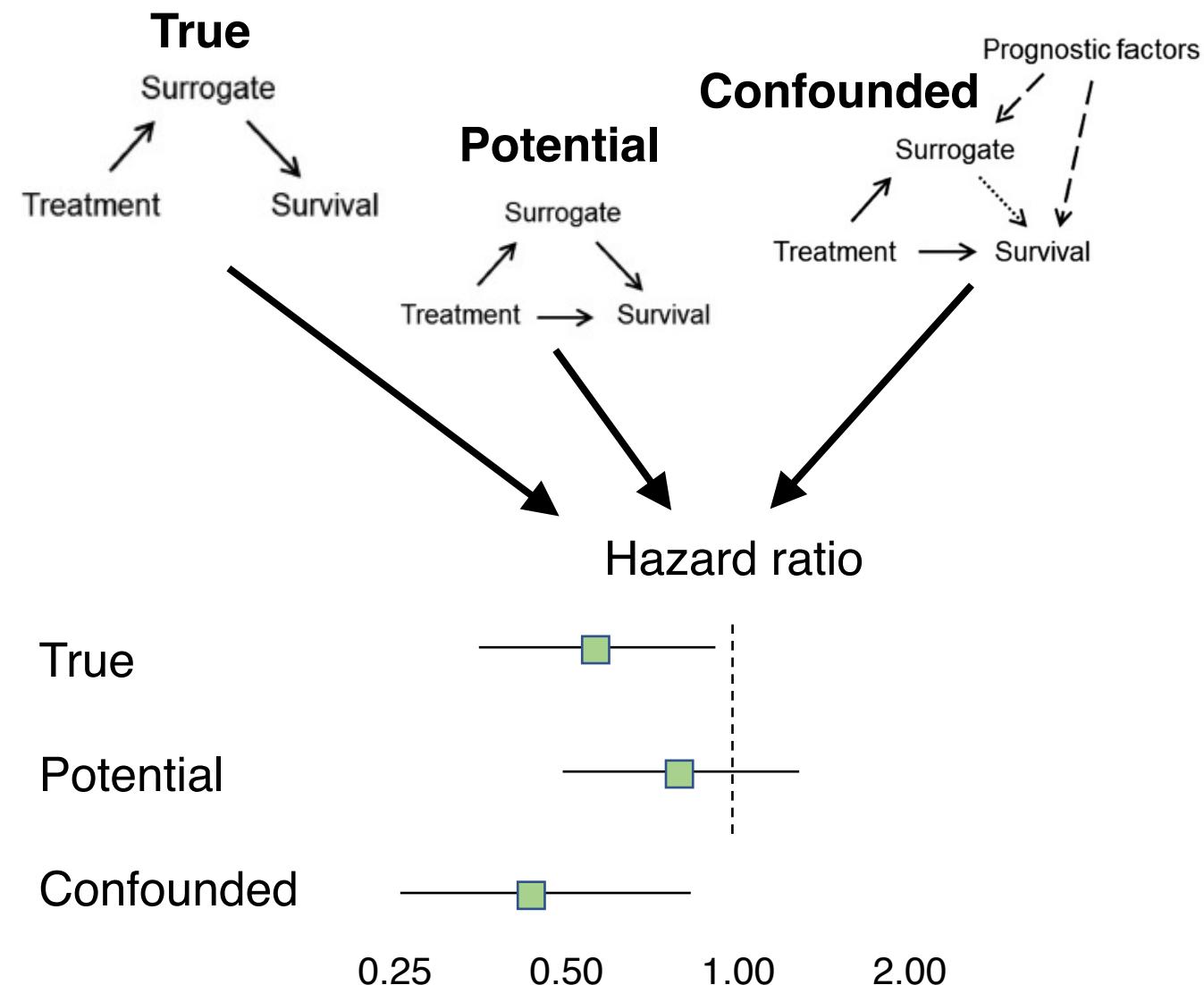


# Quantitative Bias Analysis

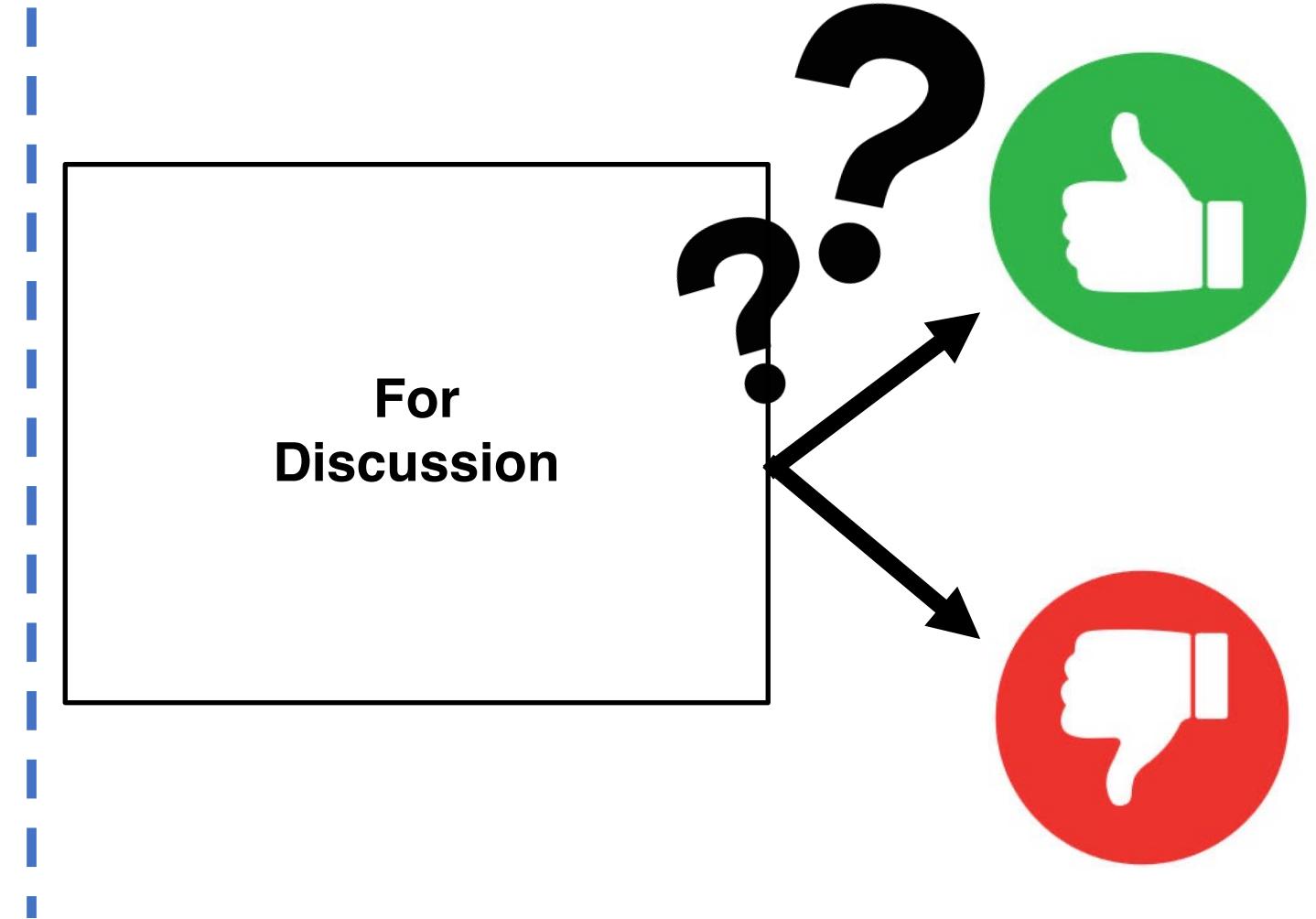
Unmeasured confounding in nonrandomized studies: quantitative bias analysis in health technology assessment

Thomas P Leahy<sup>1</sup>, Seamus Kent<sup>2</sup>, Cormac Sammon<sup>1</sup>, Rolf HH Groenwold<sup>3</sup>, Richard Grieve<sup>4</sup>, Sreeram Ramagopalan<sup>\*5</sup> & Manuel Gomes<sup>6</sup>

Quantitative bias analysis (QBA) is a broad collection of approaches for modeling the magnitude and direction of systematic errors (bias) in the data that cannot otherwise be adjusted for



# Time to discuss...



# **Lisa Machado**

**Executive Director, Canadian CML Network and  
Executive Producer, healthing.ca**

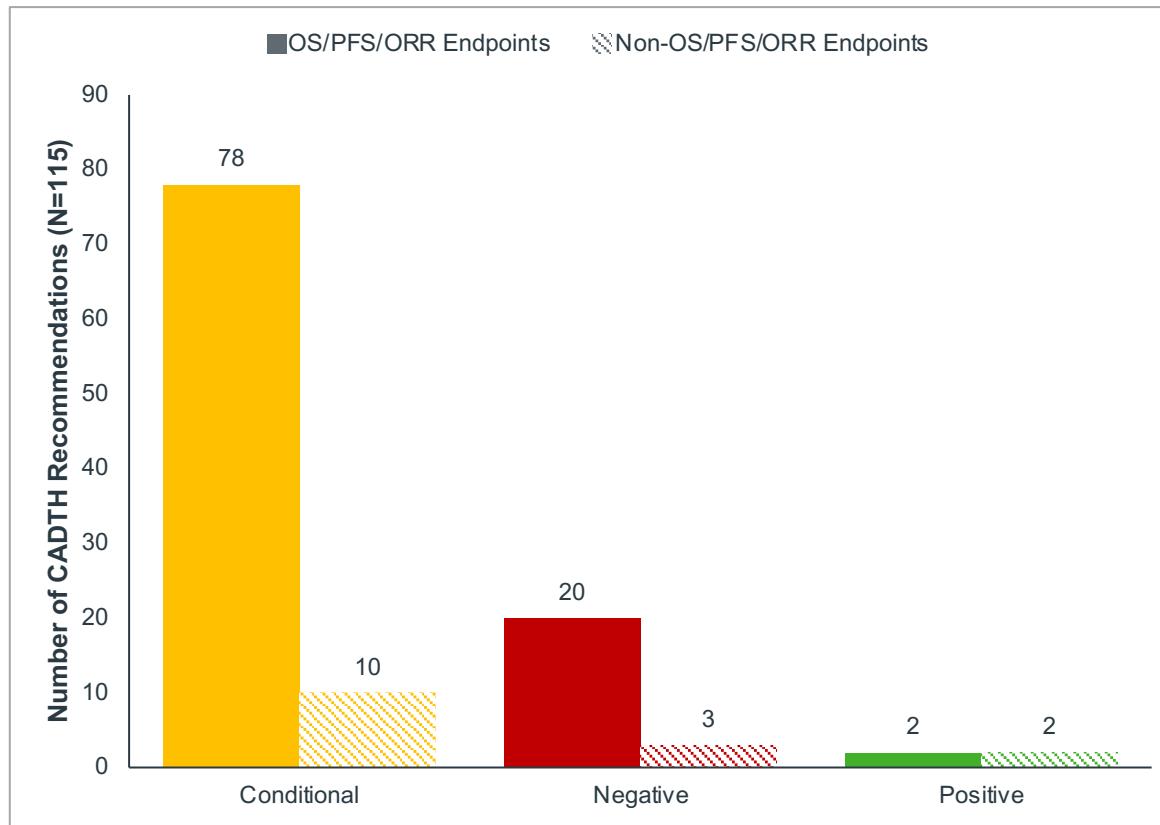


# *“Early-Stage Cancer Therapies: How Can Canadian Evaluators and Funders Adapt to Review Treatments with Surrogate Endpoints?”*

October 18, 2022  
Tara Bourgoin, Sr. Consultant  
IQVIA Real World Solutions

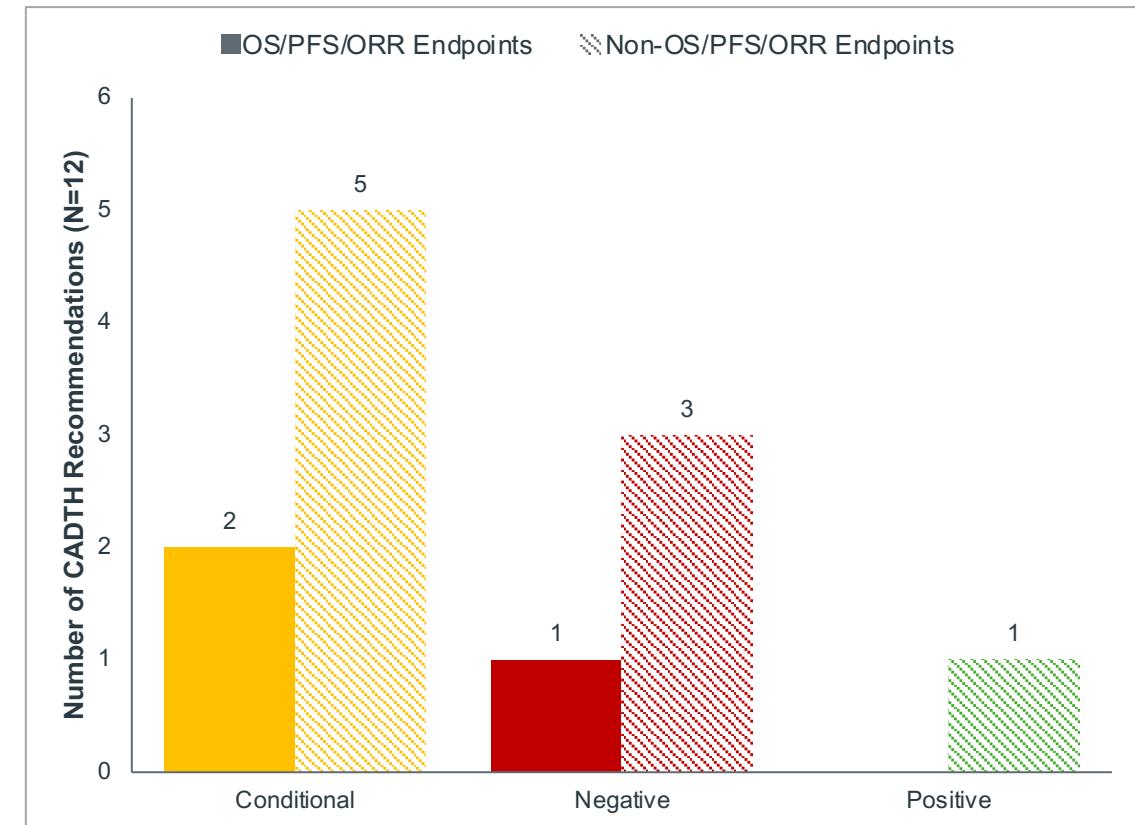
# Evaluation of CADTH recommendations demonstrates a proportionally higher use of non-traditional endpoints for early-stage cancers

## Recommendations – All oncology indications and disease stages



115 CADTH recommendations from Jan 2017 – Dec 2021 were evaluated and included **all indications and disease stages**. Recommendations assessed did not include the following: Resubmissions, submissions with 2<sup>nd</sup> pCPA attempt, non-manufacturer submissions, or those for gene therapies or biosimilars.

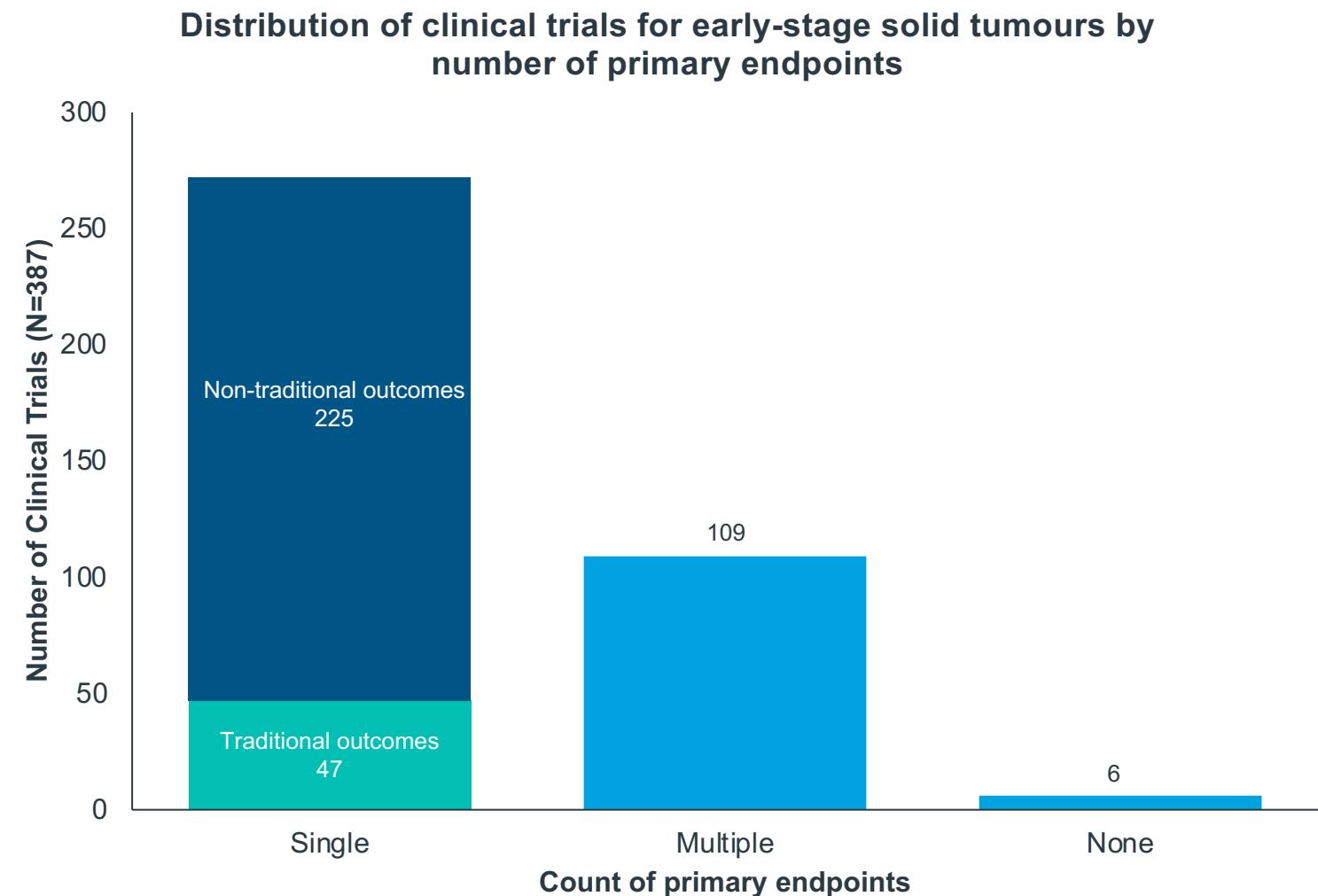
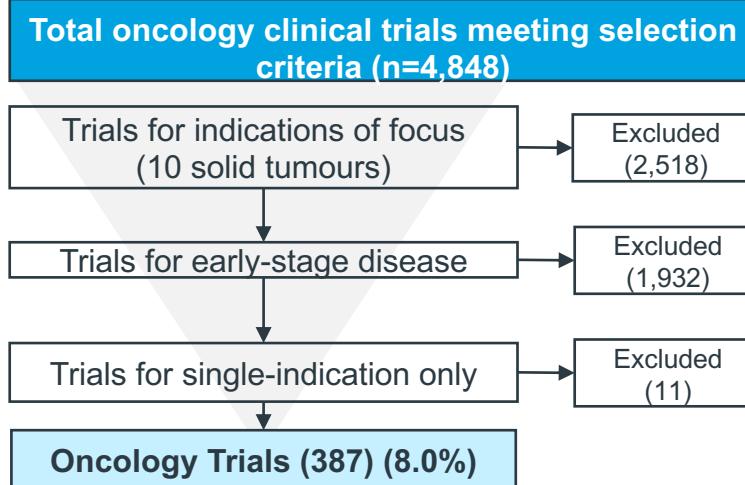
## Recommendations – Early-stage solid tumours



12 CADTH recommendations from Jan 2017 – Mar 2021 were evaluated and included **only solid tumours in early-stage disease**. Recommendations assessed did not include the following: Resubmissions, submissions with 2<sup>nd</sup> pCPA attempt, non-manufacturer submissions, or those for gene therapies or biosimilars.

An independent study of clinical trials in early-stage disease for solid tumours was conducted to estimate the potential impact of non-traditional endpoints on future HTA

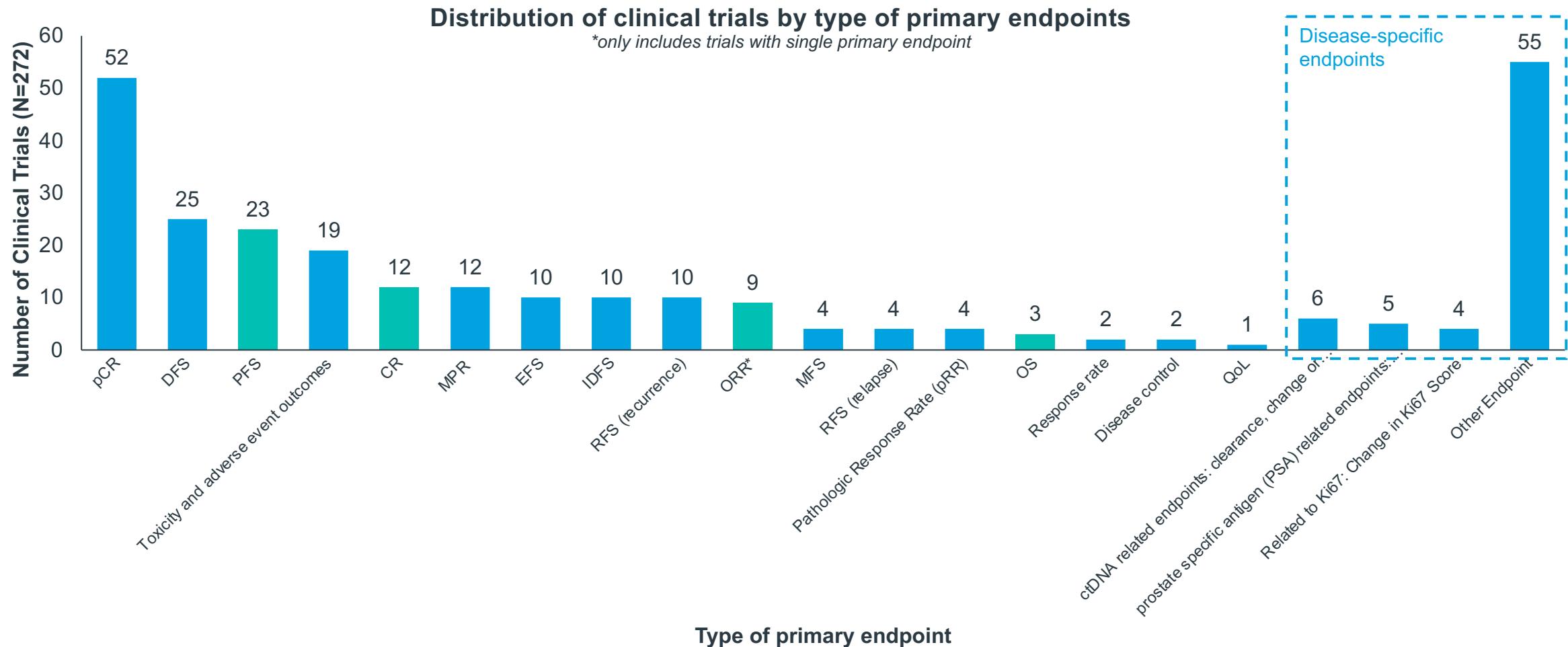
Selection Criteria
<b>Trial type:</b> Interventional clinical trials
<b>Trial timing:</b> Start date of Jan 2017 - Mar 2022
<b>Sponsor:</b> Industry
<b>Study Phase:</b> Phase 2 and 3
<b>Status:</b> not withdrawn, suspended or completed
<b>Top 10 tumor types:</b> Lung, Breast, Prostate, Melanoma, Ovarian, Colorectal, Pancreatic, Esophageal, Gastric, Bladder (single indication)
<b>Disease stage:</b> Early stage, non metastatic, non invasive, localized, Stage I-III



Source: clinicaltrials.gov

Analysis conducted by IQVIA, and sponsored by AstraZeneca

~82% of clinical trials in early-stage disease with a single primary endpoint include non-traditional outcomes; type of frequency of outcome is varied



Abbreviations: CR; complete response; DFS, Disease free survival; EFS, event free survival; IDFS, Invasive disease free survival; MFS, Metastasis free survival; MPR, major pathological response; ORR, overall response rate; OS, overall survival; pCR, Pathologic complete response; PFS, progression free survival; QoL, quality of life; RFS (recurrence free survival); RFS (relapse free survival)

\*Includes eight clinical trials for lung cancer and one for melanoma

Source: clinicaltrials.gov

Analysis conducted by IQVIA, and sponsored by AstraZeneca